PTO/SB/30 (09-04) (modified)

REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

MAIL STOP RCE COMMISSIONER FOR PATENTS

Application Number	09/965,808
Filing Date	October 1, 2001
First Named Inventor	Michael Pocock
Art Unit	2623
Examiner Name	Reuben M. Brown
Attorney Docket No.	69250.000002

COMMISSIONER THE REPORT ALERS A STATE OF THE REPORT ALERS A STATE OF THE REPORT ALEXANDRIA, VA 22313-1450

Requ	est for	r Continue	ed Examinat	tion (RCE) practice under 37 C.F. e Instruction Sheet for RCEs (not	R. § 1.	.114 does not app	ly to any ι	tility or pla	nt application	on filed prio	r to June 8, 1995,	
1.												
	a.		Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.									
		i.		onsider the arguments in t	he An	nendment/Res	sponse p	reviousl	filed on			
		ii.		ther:								
	b.	\boxtimes	Enclose	ed								
		i.		mendment/Reply		iii.			sclosure 08A and I		ent (including es)	
		ii.	A	ffidavit(s)/Declaration(s)		iv.	Other:					
2.	Mis	cellan	eous									
	a.		Suspens period of 1.17(i) requ								1.103(c) for a se under 37 CFR	
	b.		Other:									
3.	b. Fee	======================================	Other:	E fee under 37 CFR 1.17(e)) is re	quired by 37 C	OFR 1.1	4 when	the RCE	is filed.		
3.		es	Other:			quired by 37 C	OFR 1.1		the RCE		Small Entity	
3.	Fee	es i.	Other: The RCE	fee under 37 CFR 1.17(e		<u> </u>	OFR 1.1				Small Entity \$ 395,00	
3.	Fee	\boxtimes	Other: The RCE The Fee	fee under 37 CFR 1.17(e) s are calculated as follows:		AMOUNT	One-Mc Two-Mc Three-Mc Four-Mc Five-Mc	Lar \$ onth \$ onth onth	ge Entity		•	
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Instruction Sheet for RCEs

(not to be submitted to the USPTO)

NOTES:

An RCE is not a new application, and filing an RCE will not result in an application being accorded a new filing date.

Filing Qualifications:

The application must be a utility or plant application filed on or after June 8, 1995. The application cannot be a provisional application, a utility or plant application filed before June 8, 1995, a design application, or a patent under reexamination. See 37 CFR 1.114(e).

Filing Requirements:

Prosecution in the application must be closed. Prosecution is closed if the application is under appeal, or the last Office action is a final action, a notice of allowance, or an action that otherwise closes prosecution in the application (e.g., an Office action under Ex parte Quayle). See 37 CFR 1.114(b).

A submission and a fee are required at the time the RCE is filed. If a reply to an Office action under 35 U.S.C. 132 is outstanding (e.g., the application is under final rejection), the submission must meet the reply requirements of 37 CFR 1.111. If there is no outstanding Office action, the submission can be an information disclosure statement, an amendment, new arguments, or new evidence. See 37 CFR 1.114(c). The submission may be a previously filed amendment (e.g., an amendment after final rejection).

WARNINGS:

Request for Suspension of Action:

All RCE filing requirements must be met before suspension of action is granted. A request for suspension of action under 37 CFR 1.103(c) does <u>not</u> satisfy the submission requirement and does not permit the filing of the required submission to be suspended.

Improper RCE will NOT toll Any Time Period:

Before Appeal - It the RCE is improper (e.g., prosecution in the application is not closed or the submission or fee has not been filed) and the application is not under appeal, the time period set forth in the last Office action will continue to run and the application will be abandoned after the statutory time period has expired if a reply to the Office action is not timely filed. No additional time will be given to correct the improper RCE.

Under Appeal - If the RCE is improper (e.g., the submission or the fee has not been filed) and the application is under appeal, the improper RCE is effective to withdraw the appeal. Withdrawal of the appeal results in the allowance or abandonment of the application depending on the status of the claims. If there are no allowed claims, the application is abandoned. If there is at least one allowed claim, the application will be passed to issue on the allowed claim(s). See MPEP 1215.01

See MPEP 706.07(h) for further information on the RCE practice.